**20**1590960 IAP6 Rec'd PCT/PTO **29** AUG 2006

Docket No.

295459US8PCT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Yoshito ASOMA

SERIAL NO: New US PCT Application Based on PCT/JP05/04162

GAU:

FILED:

Herewith

**EXAMINER:** 

FOR:

OPTICAL PICKUP AND DISC DRIVE APPARATUS

# **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **RELATED CASES**

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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LAPS RSC'd PCT/PTO 29 AUG 2006 SHEET 1 OF 1

Form PTO 1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO. 295459US8PCT		SERIAL NO.  New US PCT Application  Based on PCT/JP05/04162	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Yoshito ASOMA		19/590960	
				FILING DATE		GROUP	
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				U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB FILING DATE CLASS IF APPROPRIATE	
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			FO	REIGN PATENT DOCUMENTS			
		DOCUMENT NUMBER	DATE	COUNTRY	-	TRANSLATION YES NO	
	AO	2003 288733	10/10/03	JP			NO
	AP	2001 209966	08/03/01	JP			NO
	AQ	10 222865	08/21/98	JP			NO
	AR	5 242514	09/21/93	JP			NO
	AS	9 147379	06/06/97	JP (equivalent of US 5835466)			NO
	AT	2001 222839	08/17/01	JP (equivalent of US 2001 0002896)			NO
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		OTHER F	REFERENCES (	(Including Author, Title, Date, Pertinent	t Pages, e	tc.)	
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Examiner					Date Considered		
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